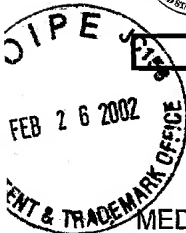




UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,135	08/14/2001	Lance Fors	FORS-06443

CONFIRMATION NO. 8297

FORMALITIES LETTER



OC000000007209716

MEDLEN & CARROLL, LLP
Suite 2200
220 Montgomery Street
San Francisco, CA 94104

Date Mailed: 12/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604

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65.00 DP

(September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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PATENT Box Seq
Attorney Docket No. FORS-06443

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fors *et al.*
Serial No.: 09/929,135
Filed: 08/14/01
Entitled: Electronic Medical Record, Library of Electronic Medical Records Having Polymorphism Data, and Computer Systems and Methods for Use Thereof
Group No.: 1645
Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Application Processing Division,
Special Processing and Correspondence Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, , Box Sequence, PO Box 2327, Arlington, VA 22202.

Date:

Feb 19, 2002

By:

Marilyn May
Marilyn May

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed 12/18/01 (a copy of which is enclosed), applicants submit the following documents:

1. Executed declaration
2. Assignment document for recording and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
3. Power of Attorney by Assignee
4. Preliminary Amendment and Response to Notice to File Missing Parts
5. Sequence Listing in paper copy and on a computer-readable diskette
6. Certificate re: Sequence Listing
7. A check in the amount of \$105.00 is enclosed herewith to cover:
\$65.00 surcharge for filing missing parts of an application
\$40.00 recordation fee
8. Substitute Drawings

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: February 19, 2002

Kamrin T. MacKnight
Registration No. 38,230
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fors *et al.*

Serial No.: 09/929,135

Group No.:

Filed: 08/14/01

Examiner:

Entitled: **Electronic Medical Record, Library of
Electronic Medical Records Having
Polymorphism Data, and Computer Systems
and Methods for Use Thereof**

CERTIFICATE RE: SEQUENCE LISTING

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Sequence, PO Box 2327, Arlington, VA 22202.

Dated:

Feb 19, 2002

By:

Marilyn Moy
Marilyn Moy

Sir or Madam:

I hereby state that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

Dated:

19 February 2002

By:

Kamrin A. MacKnight
Kamrin A. MacKnight
Registration No. 38,230

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